10/780,178-



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PATENT 129913

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.: 7,112,909 B2

Issued: September 26, 2006

Inventor(s): Swartout et al.

Assignee: General Electric Company

For: METHOD AND SYSTEM FOR MEASURING WEDGE TIGHTNESS

Certificate

OCT 1 1 2007

of Correction

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed is: Attention Certificate of Corrections Branch, Commissioner for Pagents, P.G. Box 1450, Alexandria, VA 22313-1450, on October 5, 2007

Robert B. Rees Reg. No. 45,54

Attention Certificate of Corrections Branch Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT UNDER 37 C.F.R. 1.322(a)

Sir:

Attached is Form PTO/SB/44 suitable for printing.

Submitted herewith is a copy of the Notice of Allowance and Fee(s) Due and the Notice of Allowability dated June 14, 2006 and a copy of the Amendment filed June 7, 2006. Applicants respectfully submit that the corrections shown below are in accordance with the Amendment filed June 7, 2006. The corrections thereof do not involve such changes in the patent as would constitute new matter or would require re-examination. Applicants respectfully request a Certificate of Correction for the following:

In Claim 1, column 4, line 61, delete "receiving, energy" and insert therefor -- receiving energy --.

OCT 11 2007.

In Claim 1, column 4, line 62, delete "sensing, coil" and insert therefor -- sensing coil

In Claim 4, column 5, beginning on line 4, delete "compressed" and insert therefor -- comprising --.

In Claim 13, column 6, line 9, delete "comprising;" and insert therefor -- comprising:

In Claim 13, column 6, line 17, delete "to said too" and insert therefor -- to said top --.

In Claim 13, column 6, line 22, delete "spring, further" and insert therefor -- spring

further --.

The corrections are not due to any error by Applicants and no fee is due.

The Assignment for this patent is recorded on Reel 015003/Frame 0382.

Date:

Robert B. Reeser, III

Reg. No. 45,548

Respectfully

ARMSTRONG TEASDALE LLP One Metropolitan Square, Suite 2600 St. Louis, Missouri 63102-2740

(314) 621-5070

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(Also Form PTO-1050)

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.

: 7,112,909 B2

APPLICATION NO.

: 10/780,178

ISSUE DATE

: September 26, 2006

INVENTOR(S)

: Swartout et al.

PAGE 1 OF 1

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In Claim 1, column 4, line 61, delete "receiving, energy" and insert therefor -- receiving energy --.

In Claim 1, column 4, line 62, delete "sensing, coil" and insert therefor -- sensing coil --.

In Claim 4, column 5, beginning on line 4, delete "compressed" and insert therefor -- comprising --.

In Claim 13, column 6, line 9, delete "comprising;" and insert therefor -- comprising: --.

In Claim 13, column 6, line 17, delete "to said too" and insert therefor -- to said top --.

In Claim 13, column 6, line 22, delete "spring, further" and insert therefor -- spring further --.

MAILING ADDRESS OF SENDER: Robert B. Reeser, III Armstrong Teasdale LLP One Metropolitan Sq., Suite 2600 St. Louis, MO 63102





Acknowledgement Receipt

The USPTO has received your submission at 12:46:02 Eastern Time on 07-JUN-2006.

No fees have been paid for this submission. Please remember to pay any required fees on time to prevent abandonment of your application.

eFiled Application Information	
EFS ID	1070044
Application Number	10780178
Confirmation Number	9864
Title	Method and system for measuring wedge tightness
First Named Inventor	tightness Richard Neil Swartout
Customer Number or Correspondence Address	John S. Beulick Armstrong Teasdale LLP Suite 2600 One Metropolitan Square St. Louis MO 63102 US 3146215065
Filed By	Robert B. Reeser/Tracey Paterson 129913
Attorney Docket Number	129913
Filing Date	17-FEB-2004
Receipt Date	07-JUN-2006

Application Details

Application Type

Submitted Files	Page Count	Document Description	File Size Warning	s
129913Amendment07JUN2006.PDF	10	Amendment - After Non- Final Rejection	11795766 ♦ PASS	

Utility

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Richard Neil Swartout et al.

Art Unit: 2834

Serial No.: 10/780,178

Examiner: Mullins, Burton S.

Filed: Feb 17, 2004

For: METHOD AND S

METHOD AND SYSTEM FOR MEASURING

WEDGE TIGHTNESS

AMENDMENT

Mail Stop: AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

44

In response to the Office Action dated March 9, 2006, please amend the above-identified patent application as follows:



IN THE CLAIMS

1. (currently amended) A method for measuring wedge tightness in an electromechanical device, said method comprising:

providing a top ripple spring that includes a conductive portion and a non-conductive portion;

positioning the top ripple spring at least partially within a stator slot defined within the electromechanical device;

mapping a profile of the top ripple spring <u>via transmitting energy from an excitation</u> coil to the conductive portion of the top ripple spring, and receiving energy reflected from the conductive portion using a sensing coil; and

using the mapped profile to determine the wedge tightness in the electromechanical device.

- 2. (original) A method in accordance with Claim 1 wherein the mapped profile corresponds to a particular pressure on the top ripple spring.
- 3. (currently amended) A method in accordance with Claim 1 wherein for measuring wedge tightness in [[a]] an electromechanical device comprises measuring wedge tightness in an electrical generator.
- 4. (original) A method in accordance with Claim 1 further comprising inserting at least one wedge into the stator slot until the top ripple spring is compressed between approximately four one-thousandths of an inch and six one-thousandths of an inch thick.
 - 5. (cancelled)

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- 6. (currently amended) A method in accordance with Claim [[5]] 1 further comprising repositioning a measuring apparatus along the stator slot until the entire top ripple spring profile is mapped.
- 7. (original) A method in accordance with Claim 1 wherein providing a top ripple spring that includes a conductive portion further comprises providing a top ripple



spring wherein the conductive portion has a profile that is substantially similar to a profile of the top ripple spring.

8. (currently amended) A stator wedge measurement system comprising:

a top ripple spring comprising a conductive portion and a non-conductive portion, said top ripple spring positioned at least partially within a stator slot; and

a measuring apparatus for mapping a profile of the top ripple spring, said measuring apparatus configured to transmit energy from an excitation coil to said top ripple spring conductive portion, and receive energy reflected from said conductive portion using a sensing coil; and

- [[a]] <u>said</u> measuring apparatus <u>for mapping a profile of the top ripple spring, said</u> measuring apparatus <u>further</u> configured to determine the wedge tightness in an electromechanical device based on the mapped profile.
- 9. (original) A stator wedge measurement system in accordance with Claim 8 wherein said each said profile mapped of said top ripple spring by said measuring apparatus corresponds to a particular pressure induced on said top ripple spring.
- 10. (original) A stator wedge measurement system in accordance with Claim 8 wherein said measuring device is further configured to determine a wedge tightness in an electric generator.
- 11. (original) A stator wedge measurement system in accordance with Claim 8 further comprising at least one wedge configured to compress said top ripple spring until said top ripple spring is between approximately four one-thousandths of an inch and approximately six one-thousandths of an inch thick.
 - 12. (cancelled)

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13. (currently amended) A stator wedge measurement system in accordance with Claim 8 wherein said measurement apparatus is further configured to transition move along the stator slot during mapping of said top ripple spring.



- 14. (original) A stator wedge measurement system in accordance with Claim 8 wherein a profile of said top ripple spring conductive portion is substantially similar to a profile of said top ripple spring.
 - 15. (currently amended) An electric generator comprising:

a stator comprising a plurality of slots;

a plurality of top ripple springs, each said top ripple spring comprising a conductive portion and a non-conductive portion, each said top ripple spring positioned at least partially within each said respective stator slot; and

a measuring apparatus for mapping a profile of each said top ripple spring, said measuring apparatus configured to transmit energy from an excitation coil to said top ripple spring conductive portion, and receive energy reflected from said conductive portion using a sensing coil; and

[[a]] <u>said</u> measuring apparatus for mapping a profile of each said top ripple spring, said measuring apparatus <u>further</u> configured to determine the wedge tightness in said electric generator based on the mapped profile.

- 16. (original) An electric generator in accordance with Claim 15 wherein each said profile mapped of said top ripple spring by said measuring apparatus corresponds to a particular pressure induced on said top ripple spring.
- 17. (original) An electric generator in accordance with Claim 15 further comprising at least one wedge configured to compress said top ripple spring until said top ripple spring is between approximately four one-thousandths of an inch and approximately six one-thousandths of an inch thick.
 - 18. (cancelled)

OCT 11 2007

19. (currently amended) An electric generator in accordance with Claim 15 wherein said measurement apparatus is further configured to transition move along said stator slot during mapping of said top ripple spring.



20. (original) An electric generator in accordance with Claim 15 wherein a profile of said top ripple spring conductive portion is substantially similar to a profile of said top ripple spring.



REMARKS

The Office Action mailed March 9, 2006 has been carefully reviewed and the foregoing amendments have been made in consequence thereof.

Claims 1-4, 6-11, and 13-17, 19, and 20 are now pending in this application. Claims 5, 12, and 18 stand cancelled. Claims 1-4, 6-11, and 13-17, 19, and 20 stand rejected.

The rejection of Claims 13 and 19 under 35 U.S.C. § 112 as being indefinite is respectfully traversed. Specifically Claims 13 and 19 have each been amended in accordance with the Examiner's suggestions. Accordingly, Applicants respectfully requests that the Section 112 rejection of Claims 13 and 19 be withdrawn.

The rejection of Claims 1-4, 5-11, and 13-17, 19, and 20 under 35 U.S.C. § 103(a) as being unpatentable over Bissonnette et al. (U.S. Pub. App. No. 2004/0135588) (hereinafter "Bissonnette") in view of Elton et al. (U.S. Pat. No. 4,853,565) (hereinafter "Elton") is respectfully traversed.

Claim 5 was indicated as being allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. Claim 5 has been cancelled and independent Claim 1 has been amended to include all of the recitations of Claim 5. Accordingly, Claim 1 is submitted to be in condition for allowance over Bissonnette in view of Elton.

Claims 2-4, 6, and 7 depend, directly or indirectly, from independent Claim 1. When the recitations of Claims 2-4, 6, and 7 are considered in combination with the recitations of Claim 1, Applicants submit that dependent Claims 2-4, 6, and 7 likewise are patentable over Bissonnette in view of Elton.

Claim 12 was indicated as being allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. Claim 12 has been cancelled and independent Claim 8 has been amended to include all of the recitations of Claim 12. Accordingly, Claim 8 is submitted to be in condition for allowance over Bissonnette in view of Elton.



Claims 9-11, 13, and 14 depend, directly or indirectly, from independent Claim 8. When the recitations of Claims 9-11, 13, and 14 are considered in combination with the recitations of Claim 8, Applicants submit that dependent Claims 9-11, 13, and 14 likewise are patentable over Bissonnette in view of Elton.

Claim 18 was indicated as being allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. Claim 18 has been cancelled and independent Claim 15 has been amended to include all of the recitations of Claim 18. Accordingly, Claim 15 is submitted to be in condition for allowance over Bissonnette in view of Elton.

Claims 16, 17, 19, and 20 depend, directly or indirectly, from independent Claim 15. When the recitations of Claims 16, 17, 19, and 20 are considered in combination with the recitations of Claim 15, Applicants submit that dependent 16, 17, 19, and 20 likewise are patentable over Bissonnette in view of Elton.

For at least the reasons set forth above, Applicants respectfully request that the Section 103 rejection of Claims 1-4, 6-11, and 13-17, 19, and 20 be withdrawn.

In view of the foregoing amendments and remarks, all the claims now active in this application are believed to be in condition for allowance. Reconsideration and favorable action is respectfully solicited.

Respectfully & bmitted

Robert B. Reese II

Registration No. 45,54

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St. Louis, Missouri 63102-2740

(314) 621-5070



Attorney Docket No.: IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applio	cants: Rich	ard Neil Swartout et al.	:		
Serial	No.: 10/780),178	:	Art Unit: 28	334
Filed:	Feb 17, 20	04	:	Examiner:]	Mullins, Burton S.
For:	METHOD A WEDGE TI	AND SYSTEM FOR MEASURING IGHTNESS	:		
Comn P.O. I	Stop: AME nissioner fo Box 1450 ndria, VA				·
		TRANSMIT	ΓAL		
1.	Transmit	ted herewith is:			
	• T	his Amendment Transmittal (3 pag	ges)		
	• A	mendment (7 pages)			
		STATUS			
2.	Applican	t claims small entity status. is other than a small entity.			
		EXTENSION OF	TERN	Л	
3.	The proce apply.	edings herein are for a patent appli			ons of 37 C.F.R. 1.136
	(.)	(complete (a) or	•		
	(a)	Applicant petitions for an exte (Fees: 37 C.F.R. 1.17(a)-(d)	nsion o	of time under 37 otal number of mo	C.F.R. 1.136 nths checked below:)
		Extension for response within:		ner than small entity Fee	Small entity Fee (if applicable)
		first month	S 1	20.00	\$ 60.00

second month

\$ 225.00

\$ 450.00



		third month		\$ 1,020.00	\$ 3	510.00
		fourth month		\$1,590.00	\$ 7	795.00
		fifth month		\$2,160.00	\$1,	080.00
		·		Fee:		\$
If an	additional ext	ension of time is requ	ired, pleas	e consider this a pet	ition	therefor.
		(Check and comp	lete the next i	tem, if applicable)		
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4. Т	app of t The fee for cla	ims (37 C.F.R. 1.16(t	tly overloo	ked the need for a p	etitio	n for extension
	(Col. 1) CLAIMS REMAINING	(Col. 2) HIGHEST NO.	(Col. 3)	SMALL ENTITY		SMALL ENTITY
	AFTER AMENDMENT	PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDITIONAL. RATE FEE x \$25.00 = \$	OR	ADDITIONAL RATE FEE x \$50.00 = \$
TOTAL INDEP.		MINUS		x \$100.00 = \$	<u> </u>	
	FIRST PRESEN	TATION OF MULTIPLE DEP.	CLAIM .	+\$180.00 = \$		x \$200.00 = \$ + \$360.00 = \$
				TOTAL ADDITIONAL FEE \$	OR	TOTAL ADDITIONAL FEE \$
	(a) 🔀	No additional fee fo	or Claims is	required		
			OR			
(b) Total additional fee for claims required \$						
FEE PAYMENT						
5.	Attach	ned is a check in the s	um of \$			



		Charge Deposit Account No. 01-2384 the sum of \$ A duplicate of this transmittal is attached.
		FEE DEFICIENCY
6.	\boxtimes	If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.
		AND/OR
	\boxtimes	If any additional fee for claims is required, charge Deposit Account No. 01-2384.
7.		Robert B. Reeser, III Reg. No. 45,548 ARMSTRONG TEASINALE LLP One Metropolitan Square, Suite 2600 St. Louis, MO 63102





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NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

06/14/2006

John S. Beulick Armstrong Teasdale LLP **Suite 2600** One Metropolitan Square St. Louis, MO 63102

	EXAM	IINER	
MULLINS, BURTON S			
	ART UNIT	PAPER NUMBER	

2834 DATE MAILED: 06/14/2006

APPLICATION NO.	. FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/780,178	02/17/2004	Richard Neil Swartout	129913	9864

TITLE OF INVENTION: METHOD AND SYSTEM FOR MEASURING WEDGE TIGHTNESS.

l	APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
	nonprovisional	NO	\$1400	\$300	\$1700	09/14/2006

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above QCT 11 2007

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications 200 issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may redul maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due. Entered into PAGE/PIPS

Page 1 of 3 Date PTOL-85 (Rev. 01/06) Approved for use through 04/30/2007.





United States Patent and Trademark Office

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/780,178	02/17/2004	Richard Neil Swartout	129913 9864	
759	06/14/2006		EXAMI	NER
John S. Beulick			MULLINS, E	BURTON S
Armstrong Teasdale	e LLP		ART UNIT	PAPER NUMBER
Suite 2600 One Metropolitan S St. Louis, MO 6310	•		2834 DATE MAILED: 06/14/2006	5

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 326 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 326 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.



	Application No.	Applicant(s)	
	10/780,178	SWARTOUT ET AL.	
Notice of Allowability	Examiner	Art Unit	
	Burton S. Mullins	2834	•
The MAILING DATE of this communication appeal claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in the or other appropriate communition is subsection in the contraction is subsection in the contraction i	is application. If not included	j ourso TNIS
	<u>lune 2006</u> .		
2. X The allowed claim(s) is/are 1-4.6-11,13-17,19 and 20.			
3. Acknowledgment is made of a claim for foreign priority unall All b) Some* c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)). * Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give (a) including changes required by the Notice of Draftspers 1) hereto or 2) to Paper No./Mail Date	e been received. e been received in Application I cuments have been received in of this communication to file a lENT of this application. eitted. Note the attached EXAM as reason(s) why the oath or desire be submitted. eit be submitted. es Amendment / Comment or in least condition on the condition of the header according to 37 CFR fast of BIOLOGICAL MATER	No In this national stage application reply complying with the requirement of the reply complying with the requirement of the color of the c	OTICE OF
Attachment(s) 1. Notice of References Cited (PTO-892) 2. Notice of Draftperson's Patent Drawing Review (PTO-948) 3. Information Disclosure Statements (PTO-1449 or PTO/SB/0 Paper No./Mail Date 4. Examiner's Comment Regarding Requirement for Deposit of Biological Material	6. ☐ Interview Sum Paper No./Ma 8), 7. ☐ Examiner's An	ii Date	
U.S. Patent and Trademark Office PTOL-37 (Rev. 7-05) No	otice of AlexabilityED	Part of Paper No./Ma	il Date 20060612